BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

3200 Park Center Drive, Suite 700
Costa Mesa, California 92626-7149
Telephone: (714) 557-3800
Facsimile: (714) 557-3347

FACSIMILE TRANSMITTAL SHEET

Deliver To:	Attn: Licensing Review
Company:	U.S. Patent and Trademark Office
Facsimile:	(703) 305-6384
From:	Roger W. Blakely, Jr.
Date:	March 18, 2002
Number of Pages:	44 **(Including Cover Sheet)**
Operator:	Jessica Clark
Our Reference:	004711.P006

SUBJECT:	U.S. Patent Application No. 09/894,344
REMARKS:	On December 10, 2001 we mailed a Petition for Retroactive Foreign Filing License, which has apparently never been received by the Patent Office. A copy of the complete package as mailed follows. We respectfully request that the Petition be filed on this date and the fee charged to our Deposit Account 02-2666. Please contact me if there are any questions.

If you do not receive all the pages, or if there is any difficulty in receiving, please call: (714) 557-3800 and ask for the operator named above.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ki Y. Nam et al

Application No.: 09/894,344

Filed: June 28, 2001

For: METHOD AND GEO-LOCATION DATA INTERPOLATION AND COMPRESSION

PETITION FOR RETROACTIVE LICENSE (37 C.F.R. §5.25)

Attn: Licensing and Review
Assistant Commissioner for Patents
Washington, DC 20231-9998

Sir:

It is respectfully requested that this petition for license for foreign filing be granted retroactively under the provisions of 37 C.F.R. §5.25.

1. Previous License

Attached hereto as Exhibit A is a copy of the foreign filing license issued on the corresponding provisional application before the export. Also attached hereto, as Exhibit B, is a copy of the foreign filing license issued on the present application after the export.

2. Material Filed Abroad Without a License

Attached hereto as Exhibit C is a copy of the material that was filed abroad without a license for foreign filing.

3. Identification of Inventors, Title of Intention and Details of Corresponding U.S. Application

Inventors: Ki Y. Nam, Gallin C. Chen, William J. Northrup

Title: Method and Geo-Location Data Interpolation and Compression

U.S. Application No.: 09/894,344

Filing Date: June 28, 2001

Earlier U.S. Provisional Application No.: 60/215,740

Provisional Filing Date: June 28, 2000

4. Foreign Countries and Dates of Filing of Material for which Retroactive License is Requested

Foreign Country	Date
Argentina	July 2, 2001

5. Verified Statement

Attached hereto as Exhibit D is the verified statement of Roger W. Blakely, Jr. which confirms that, in accordance with 37 C.F.R. §5.25(a)(3)(i)-(iii),

a. the subject matter in question was not under a secrecy order at the time it was filed abroad and is not currently under a secrecy order;

b. the license is being diligently sought after discovery of the proscribed foreign filing; and

c. an explanation of why the material was filed abroad through error and without deceptive intent without the required license under §5.11 first having been obtained.

6. Fee

The fee for this petition for retroactive license is paid by a check in the amount of $130.00 enclosed herewith. Please charge any additional fees required by this paper or credit any overpayment to Deposit Account No. 02-2666. A duplicate of the Fee Transmittal is enclosed for deposit account purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: December 10, 2001

ROGER W. BLAKELY, JR.
Reg. No. 25,831

12400 Wilshire Boulevard,
Seventh Floor
Los Angeles, California 90025
(714) 557-3800

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Licensing and Review, Assistant Commissioner for Patents, Washington, D.C. 20231 on: December 10, 2001.

Jessica A. Clark 12/10/2001
Jessica A. Clark *Date*

Application No.: 09/894,344 Filing Date: 06/28/01 BSTZ Docket #: 4711P006 Atty/Sec: RWB/fc

Date Mailed: 12/10/2001 Docket Due Date(s): Client: Paradigm Wireless Systems, Inc.

Title: METHOD AND GEO-LOCATION DATA INTERPOLATION AND COMPRESSION

Inventor(s): Nam Chen Northrup

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment: (__ pgs)
- ☐ Appeal Brief & two copies (__ pgs)
- ☐ Application: (__ pages w/ cover & abstract)
- ☐ Assignment & Cover Sheet (__ pgs)
- ☒ Certificate of Mailing
- ☐ Continued Prosecution Application (CPA)
- ☐ Declaration & POA (__ pgs)
- ☐ Drawings: __ sheets, __ figures
- ☐ Express Mail No: __
- ☐ Extension of Time: __
- ☒ Fee Transmittal (original & copy)
- ☒ Other: Statement in Support of Petition for Retroactive License (2 pgs); Exhibits

- ☐ Information Disclosure Statement & PTO/SB/08 (__ pgs)
- ☐ Issue Fee Transmittal (original & copy)
- ☐ Notice of Appeal
- ☒ Petition for: Retroactive License (3
- ☐ Request for Continued Examination (RCE)
- ☐ Reply Brief (__ pgs)
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Request to Rescind Previous Nonpublication Request
- ☐ Response to Notice of Missing Parts & Formalities Letter
- ☐ Terminal Disclaimer
- ☐ Transmittal of Formal Drawings
- ☐ Transmittal of Publication Fee Due
- ☒ Transmittal Letter
- ☒ Check No. 12792 in the Amount of $130.00
- ☐ Check No. in the Amount of

CM

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP
3200 PARK CENTER DRIVE, SUITE 700
COSTA MESA, CA 92626

usbank
24-Hour Banking
1-800-673-3555

90-2287
1211

12792

Date 12/10/01

PAY ONE HUNDRED THIRTY AND NO/100********** DOLLARS $ $ 130.00

TO THE ORDER OF Director of the United States Patent and Trademark Office

Roger W. Blakely
AUTHORIZED SIGNATURE

⑈012792⑈ ⑆121122676⑆ 164301268359⑈

DO NOT CASH THIS CHECK UNLESS YOU CAN SEE THE WORDS "CHECK PROTECT" ON REVERSE SIDE

DETACH AND RETAIN THIS STATEMENT
THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

DATE	DESCRIPTION	AMOUNT
12/10/2001	U.S. Patent and Trademark Office patent petition fee for retroactive foreign filing license for METHOD AND GEO-LOCATION DATA INTERPOLATION AND COMPRESSION Application No. 09/894,344 Filed June 28, 2001 Inventors: K. Y. Nam et al. 004711.P006 RWB/jc Paradigm Wireless Systems, Inc.	$ 130.00

12792

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (S) 130.00

Complete if Known	
Application No.	09/894,344
Filing Date	June 28, 2001
First Named Inventor	Ki Y. Nam
Examiner Name	
Group/Art Unit	
Attorney Docket No.	4711P006

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-2666

Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☒ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) ($)

2. EXTRA CLAIM FEES

	Extra Claims		Fee from below	
Total Claims		- ** =		X =
Independent Claims		- ** =		X =
Multiple Dependent				=

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($)

**or number previously paid, if greater. For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for *ex parte* reexamination	
112	920*	112	920*	*Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	*Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) ($) 130.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Roger W. Blakely, Jr.	Registration No. (Attorney/Agent)	25,831	Telephone	(714) 557-3800
Signature				Date	12/10/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

PTO/SB/21 (12/97)
Approved for use through 9/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	09/894,344
Filing Date	June 28, 2001
First Named Inventor	Ki Y. Nam
Group Art Unit	
Examiner Name	
Total Number of Pages In This Submission: 41	Attorney Docket Number: 4711P006

ENCLOSURES *(check all that apply)*

- ☒ Fee Transmittal Form
  - ☒ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Assignment Papers *(for an Application)*
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) *(please identify below)*:

Petition for Retroactive License; Verified Statement in Support of Petition for Retroactive License; Exhibits

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Roger W. Blakely, Jr., Reg. No. 25,831 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	Roger W Blakely
Date	December 10, 2001

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

December 10, 2001

Typed or printed name	Jessica A. Clark		
Signature	Jessica A Clark	Date	December 10, 2001

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT ($) 130.00

Complete if Known	
Application No.	09/894,344
Filing Date	June 28, 2001
First Named Inventor	Ki Y. Nam
Examiner Name	
Group/Art Unit	
Attorney Docket No.	4711P006

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-2666

Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☒ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

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108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) ($)

2. EXTRA CLAIM FEES

		Extra Claims		Fee from below	
Total Claims	-	**=	X		=
Independent Claims	-	**=	X		=
Multiple Dependent					=

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110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($)

**or number previously paid, if greater. For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEE

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126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
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149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
Other fee (specify)					

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) ($) 130.00

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Roger W. Blakely, Jr.	Registration No. (Attorney/Agent)	25,831	Telephone	(714) 557-3800
Signature	Roger W. Blakely			Date	12/10/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

EXHIBIT A

FILING RECEIPT

OC000000005345346

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
60/215,740	06/29/2000		75	004711.P006Z	1		

RWB

Roger W Blakely Jr
Blakely Sokoloff Taylor & Zafman LLP
12400 Wilshire Blvd
Seventh Floor
Los Angeles, CA 90025-1026

Date Mailed: 08/23/2000

Receipt is acknowledged of this provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Ki Y. Nam, Newport Beach, CA ;
Gallin C. Chen, Huntington Beach, CA ;
William J. Northrup, Oceanside, CA ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/22/2000

**** SMALL ENTITY ****

Title

Method and geo-location data interpolation and compression

Preliminary Class

Data entry by : ROBINSON, YOLANDA **Team :** OIPE **Date:** 08/23/2000

STATUS DB-10 8:50 PM

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "**a**," "**an**" and "**the**" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "**new**," "**improved**," "**improvements in**" or "**relating to**" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

EXHIBIT B

RWB
Paradigm Wireless Sys.

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/894,344	06/28/2001	2681	507	4711P006	4	28	5

CONFIRMATION NO. 6453

08791
BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025

RECEIVED
AUG 23 2001

FILING RECEIPT

<C000000006442315*>

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Date Mailed: 08/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

ENTERED
AUG 23 2001

STATUS DB-LA

AUG 24 2001

Applicant(s)

Ki Y. Nam, Newport Beach, CA;
Gallin C. Chen, Huntington Beach, CA;
William J. Northrup, Oceanside, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/215,740 06/29/2000

Foreign Applications

If Required, Foreign Filing License Granted 08/17/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Method for geo-location interpolation and compression

Preliminary Class

455

Data entry by : KIBERT, MULUEMEBET Team : OIPE Date: 08/17/2001

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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EXHIBIT C

Atty. Docket No. 004711.P006
Express Mail Label No. EL851137150US

UNITED STATES PATENT APPLICATION

FOR

METHOD FOR GEO-LOCATION INTERPOLATION AND COMPRESSION

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004711.P006

Method for Geo-Location Interpolation and Compression

Field

The invention relates to a locating system and more particularly, to a geographic position communication system that allows a transmission of compressed geographic position data.

Background

Determining the geographical positions of mobile units has recently become important for a wide range of applications. For example, a locater can be used to locate a stolen car, to provide security in transport of objects and to provide direction services through which the location of, for example, the nearest gas station, restaurant, or hospital can be determined. In cellular telephones, determining the geographical position may help subscribers in events such as a car failure, accident or crime.

While the cellular telephone can facilitate voice communication in these situations, the subscriber must first have knowledge of the subscriber's location. Accordingly, many techniques are being considered and developed to provide automatic location capability. The geographical location (hereinafter "geo-location") of a mobile unit can then be transmitted to a locater for application.

However, in many applications, the cost for transmitting data depends on the amount of data passed. Therefore, transmitting the geo-location data using a limited data payload can reduce costs.

BRIEF SUMMARY OF THE INVENTION

The method and system allows a transmission of compressed geographical location data of mobile units to reduce the amount of data payload. Using a plurality of references, each having a reference positional data, a locater receives a compressed positional data of a mobile unit and determines the geographic position of the mobile unit. In one embodiment, the locater determines the geographic position by comparing the compressed position data against a reference positional data.

Also, the method and system of transmitting compressed geographical location may be implemented into an existing system or references. For example, in one embodiment, a cellular network is used in transmitting the compressed geographical location data. In one embodiment, the geographical location of a mobile is determined using the Global Position System technology.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be described in detail with reference to the following drawings in which like reference numerals refer to like elements wherein:

Figure 1 illustrates a geographical location communicating system in accordance to the invention;

Figure 2 illustrates a cellular network in accordance to the invention;

Figure 3 illustrates a roaming mobile unit in a cellular network in accordance to the invention; and

Figure 4 illustrates a geographical location interpolation procedure in accordance to one embodiment of the invention.

DETAILED DESCRIPTION

In the following description, specific details are given to provide a thorough understanding of the invention. For example, some circuits are shown in block diagram in order not to obscure the present invention in unnecessary detail. However, it will be understood by those skilled in the art that the present invention may be practiced without such specific details.

As disclosed herein, the term "mobile unit" refers to any remote device such as a cellular phone, cellular telephone equipment, or a beacon. The term "mobile asset" refers to any object capable of movement, such as a motor vehicle, a boat, or a bicycle. The term "transmission" refers to sending data over a communication line, and may include both wired and wireless transmission. The term "locater" refers to any positioning server including, but not limited to an Application Service Provider (ASP). Also, the term "geographical position" and "geographical location" will be used interchangeably.

Generally, transmission of less than the complete geographical position ("geo-location") data of mobile units is achieved using a set of references. Here, a set of references already existing independently can be used to implement the invention. By referring to the geographical location of a reference, the complete geo-location of mobile units can be recovered from transmissions of a compressed or reduced geo-location data. Reducing the geo-location data of mobile units saves space and/or fits the positional data within an allowed size of a transmitted data payload, sometimes referred to as a single data packet.

Figure 1 shows an exemplary embodiment of a geo-location communication system 100 in accordance with the invention including a plurality of references 112 ~ 116, each

respectively covering a region 122 ~ 126. Although the regions 122 ~ 126 are shown to cover an area in the shape of circles, the regions 122 ~ 126 may be in any shape including but not limited to a square, a rectangle and a hexagon. Also, the references 112 ~ 116 are stationary with fixed geo-locations to be determined and set as reference positional data.

A locator 140 receives a compressed geo-location data of a mobile unit 130 and a reference data corresponding to the reference 116 covering the region 126 which contains the mobile unit 130. The compressed geo-location data may be sent to the locator 140 by a wireless or wired transmission. The reference data corresponding to the reference 116 may also be sent by a wireless or wired transmission.

In one embodiment, the reference data may be an assigned identification (ID) code of the reference 116. For example, a unique ID code can be assigned to each reference 112 ~ 116 and stored with the corresponding reference positional data at the locater 140. Since the reference positional data for each reference may be predetermined, when a locater 140 receives an ID code with the compressed geo-location data of a mobile unit 130, the reference positional data can be obtained using the ID code. In another embodiment, the reference data may be the reference positional data of a reference, in which case the reference positional data need not be stored at the locater 140. In such case, the reference positional data may also be predetermined and stored at each corresponding references. Moreover, in some applications, as will be discussed in more detail below, the reference data may be a parameter that is automatically transmitted within a system as part of the normal operations.

When the reference positional data of the reference 116 is obtained using the received reference data, the locator 140 recovers the complete geo-location data of the

mobile unit 130 using the received compressed geo-location data. The particular methods to recover the complete geo-location data vary based upon the method used to compress the geo-location data. Namely, there may be many ways to compress the geo-location data of a mobile unit in accordance with the invention, one of which is to compress the geo-location data of a mobile unit by truncation based upon the differences in positions among the references.

Generally, if the positional difference between two references is approximately x number of digits, the geo-location of a mobile unit needs to be determined to the nearest x number of digits. The rest can be recovered from the reference positional data. Accordingly, the digits left of x number of digit(s) may be truncated in the geo-location data of the mobile unit. For example, assume that a reference positional data of the reference 112 in Figure 1 is 165 in measured units, a reference positional data of the reference 116 is 173 units, and a geo-location data of the mobile unit 130 is 171. Since the positional difference between the references 112 and 116 is 8 units, the digits left of the least significant digit can be truncated. Therefore, the least significant digit of "1" is the compressed geo-location data of the mobile unit 130 and is transmitted to the locater 140. Thereafter, the complete geo-location data of the mobile unit can be recovered using the reference data.

As there may be many ways to compress the geo-location data of a mobile unit, there may also be more than one method to recover the complete geo-location data from the geo-location data compressed by truncation. In one embodiment, an iterative comparison is used to interpolate and recover the complete geo-location of mobile units. The comparison is between the truncated geo-location data of a mobile unit and the reference positional data corresponding to the reference data received. In the given example, the reference data

corresponding to the reference 116 would be received since the mobile station 130 is within the region 126 covered by the reference 116. Accordingly, the least significant digit "3" of the reference positional data 173 is compared with the truncated geo-location data of "1."

In the comparison, if there is no match, the value of the reference positional data is adjusted and re-compared with the truncated geo-location data of "1" until a match is found. In one embodiment, the reference positional data is adjusted as follows, in which the reference positional data is incremented and decremented by a predetermined unit.

> Assuming a predetermined unit of "1," a unit of "1" is added to the reference positional data and the resulting least significant digit "4" of 174 is compared with the truncated data of "1." No match. Subtracting "1" unit, the least significant digit "2" of 172 is compared with "1." No match. Adding "2" units, the least significant digit "5" of 175 is compared with "1." No match. Finally, subtracting "2" units, the least significant digit "1" of 171 is compared with "1" and a match is found.

The geo-location of the mobile unit 130 is then determined as 171 units.

Although the system and method of locating a mobile unit as described above generates a fairly efficient and accurate result, an error checking procedure may further be implemented to improve the accuracy of the determined geo-location. In one embodiment, the error checking procedure checks the geo-location of a mobile unit to determine if the interpolated geo-location of the mobile unit falls within the boundary of the region covered by the reference corresponding to the reference data received. Continuing with the example above, the interpolated geo-location of the mobile unit 130, i.e. 171 units, is checked to determine if it falls within the boundary of the region 126 covered by the reference 116.

Since the area of the region covered by each reference can be approximated, the boundary of each region may be predetermined. In determining the boundary, the area of each region can be overestimated or underestimated to achieve a lower or higher confidence level for the error-checking procedure.

By reducing the amount of information that is transmitted to a locater, the invention can be integrated in a wide variety of systems and applications that require a transmission of geo-location data using a limited data payload.

Figure 2 shows one of many possible implementations of the invention, in which a cellular network 200 is used to transmit the compressed geo-location data of mobile units. The cellular network 200 includes a plurality of cellular systems 212 ~ 214, each having an assigned system identification (SID) code and each respectively covering a region 222 ~ 224. Generally, a cellular system in which a mobile unit is registered is the home system of the mobile unit. When a mobile unit is activated, the SID of the system in which the mobile unit is operating is broadcasted as part of the normal operations in order to service the mobile unit. If the mobile unit is operating outside of its home system, the mobile unit is said to be "roaming."

Figure 3 shows an example of a roaming mobile unit 310 in the cellular network 200. Messages from the mobile unit 310 are received by a base station 320 and processed by a visiting location register (VLR) of a mobile switch center (MSC) 330. The VLR 330 forwards a data payload, including an Electronic Serial Number (ESN) of the mobile unit 310, the SID and the compressed geo-location data, to a home location register (HLR) of a MSC 350 through Signaling System 7. Here, the ESN is a code assigned to uniquely identify the mobile unit 310. The HLR 350 processes and re-transmits the data to an ASP

360 to provide the service required by the mobile unit 310. Note, that if a mobile unit were operating within its home system, the SID would be known. Hence, the ESN and the compressed geo-location data may be transmitted to the HLR 350 through a base station 370. Figure 3 is an exemplary application of system and method to transmit compressed geo-location data using one base station and one mobile unit, various combinations of base stations and mobile units may be used without departing from the spirit and scope of the invention.

Referring back to Figure 2, if a mobile unit 230 is activated, the SID of the system 214 and the compressed geo-location data of the mobile unit 230 would be received by an ASP 240 through a data cloud 250 as described above. Moreover, the geo-location for each SID is stored at the ASP 240 as part of the system operation. Accordingly, the SID is used as the reference data and the ASP 240 can extract the geo-location data associated with the SID to be used as the reference positional data. The ASP 240 can then determine the complete geo-location data of the mobile unit 230 from the geo-location data using the reference positional data.

By using the SID as the reference data, additional data for use as the reference data need not be sent in the data payload for determining the geo-location of a mobile unit. Therefore, the reference data need not be sent in the data payload. Moreover, in cellular systems, the data payload is transmitted through different channels. Control channels are used to initiate a call and a voice channel is used after a call is initiated. Although any channel can be used, in one embodiment, the data payload including the compressed geo-location data is transmitted as part of the overhead using a control channel. The compressed geo-location data may also be transmitted within the ESN or within the digits dialed by a mobile unit. While the above cellular system has been described using the SID

as the references, other information can be used as such as a cell cite within a cellular system or the point code of equipments such as the HLR, the VLR or the MSC that transports the data.

Furthermore, one of many ways by which a mobile unit can determine its geo-location is by using the Global Positioning System (GPS) technique. GPS is a constellation of 24 satellites that makes it possible for GPS receivers to determine their geographic location. Generally, each satellite continually broadcasts its changing position and time and a GPS receiver triangulates its geographic location by receiving bearings from three satellites. The result is provided in units of latitude and longitude. Using a fourth satellite, the receiver can also determine altitude as well as the geographic position.

In one embodiment which implements the GPS in the cellular network 200 above, a mobile unit is a GPS receiver and obtains its geo-location data from the GPS in units of latitude and longitude. The latitude and longitude reported by the mobile unit each contains 1 digit of the degree portion. For instance, if the latitude is 23 degrees, the second 3 will be reported and if the longitude is –117, the 7 will be reported. Digits representing the minutes of the latitude and longitude are completely reported. Thus, the ASP 360 of Figure 3 determines the most significant digit of the latitude and the 2 most significant digits of the longitude. These can be determined because the SID is also contained in the data the ASP 360 receives from the HLR 350. Based on how finite and precise the reference is, the less or more digits can sometimes be interpolated.

For example, the difference in latitude across regions typically covered by a cellular system in the United States is approximately 2 degrees. Therefore, the ASP need to

determine the latitude to the nearest 10 degrees. The rest is recovered through the SID or the reference. This is the same for the longitude.

Accordingly, when a message comes in, the ASP starts with the latitude and the longitude of the reported SID, namely the reference positional data. The reported latitude, i.e. the truncated geo-location data, is checked against the least significant degree digit (LSDD) of the reference positional data. If the digits match, the reference's more significant digits are the same as the mobile unit's. Otherwise, the LSDD of the reference positional data is incremented and/or decremented in units of 1 degree until a match is found. The same process is repeated for the longitude.

Figure 4 shows one embodiment of the interpolation procedure 400 to determine the geo-location data of a mobile unit. The LSDD of the reference positional data is checked against the reported geo-location data (block 410). If there is no match, a determination is made whether the increment/decrement unit of N is odd (blocks 420 and 430). The value of N is initially set 1. If N is odd, N is added to the LSDD (block 440). Otherwise, N is subtracted from the LSDD (block 450). Thereafter, the value of N is increased by 1 (block 460) and the LSDD is checked against the reported geo-location data (block 410). If there is a match, the process ends. The more significant degree digits of the reference positional data are determined to be the same as the mobile unit's.

For example, assume an approximate location for SID number 00488 in Provo, Utah is 40 degrees 13.66 minutes North latitude and 111 degrees 39.12 minutes West longitude. A mobile unit roughly 20 miles south of Provo on Interstate 15 would report something like 9 degrees 58.30 minutes latitude and 1 degree 48.00 minutes longitude. Looking first at the longitude, the reported 1 degree matches the third 1 in 111 degrees. The ASP would then

determine that the mobile unit's longitude is 111 degrees 48 minutes West. Turning to the reference latitude of 40 degrees, 0 does not equal 9. Therefore, adding a value of 1 to the reference latitude yields 41 degrees. Since 1 does not equal 9, a value of 1 is subtracted from the original reference latitude yielding 39 degrees. Here, the LSDD of the reference latitude matches 9 and the latitude of the mobile unit is determined as 39 degrees 58.30 minutes North.

In the interpolation procedure 400, the LSDD can first be decremented and then incremented to be compared against the reported geo-location data. Alternatively, the LSDD can simultaneously be incremented and also decremented, in which case an incremented LSDD and a decremented LSDD would be compared against the reported geo-location data. Furthermore, if an error checking procedure has been implemented, the ASP would check whether the mobile unit falls within the region covered by the SID number 00488.

As described above, reduced geo-location data of mobile units can be transmitted to a locater and recovered using reference positional data. Moreover, the system and method for transmitting the reduced geo-location data can easily be implemented using a system of references already existing, such as the cellular network. Therefore, the geo-location communication system and method in accordance with the invention can be applied in a wide range of application.

A tracking and communication device is one application in which the present invention can be implemented. A mobile unit can be installed in a mobile asset such as an automobile to track the vehicle's location using, for example, the GPS technology. When polled by a user, the vehicle's location may be reported using mobile unit in the form of

compressed geo-location data. Here, the cellular network can be used, as described above. For example, the mobile unit reports its geo-location when a driver activates a signal to notify a service center that the driver needs roadside assistance. Also, an alarm system can monitor the vehicle's alarm system to notify a service center that the alarm has been activated and to give the geo-location. In still another embodiment, the mobile unit can actively broadcast its geo-location in predetermined intervals without being polled by a user.

While several examples uses and implementation of the invention have been described, it will be understood by one of ordinary skill in the art that the invention is not limited to these uses. For example, the present invention can be used for locating the position of mobile units in air and/or sea. Therefore, the foregoing embodiments are merely exemplary and are not to be construed as limiting the present invention. The present teachings can be readily applied to other types of apparatuses. The description of the present invention is intended to be illustrative, and not to limit the scope of the claims. Many alternatives, modifications, and variations will be apparent to those skilled in the art.

CLAIMS

What is claimed is:

1. A geographical location communication system comprising:

a plurality of references, each having reference positional data;

a mobile unit within a region covered by a reference, the mobile unit capable of determining the geographical location (geo-location) of the mobile unit; and

a locator to receive compressed geo-location data of the mobile unit and to determine the geo-location of the mobile unit by comparing the compressed geo-location data against the reference positional data of the reference covering said region.

2. A system of claim 1, wherein the mobile unit determines the geo-location using a Global Position System.

3. A system of claim 1, wherein the compressed geo-location data is in units of latitude and longitude.

4. A system of claim 3, wherein the compressed geo-location data includes at most one least significant degree digit of the latitude and at most two least significant degree digits of the longitude.

5. A system of claim 4, wherein the locator determines the most significant degree digit of the latitude and at least the most significant degree digit of the longitude.

6. A method for communicating geographical location comprising:

establishing a plurality of references, each having reference positional data and an identification (ID) code;

determining the geographical location (geo-location) of a mobile unit operating in a region;

receiving a compressed geo-location data of the mobile unit and a reference data of a reference covering said region; and

recovering the geo-location of the mobile unit by comparing the compressed geo-location data against a reference positional data, said reference positional data obtained from the received reference data.

7. A method of claim 6, wherein determining the geo-location of the mobile unit using a Global Position System.

8. A method of claim 6, wherein the compressed geo-location data is in units of latitude and longitude.

9. A method of claim 8, wherein the compressed geo-location data includes at most one least significant degree digit of the latitude and at most two least significant degree digits of the longitude.

10. A method of claim 9, wherein recovering the most significant degree digit of the latitude and at least the most significant degree digit of the longitude.

11. A cellular network comprising:

a plurality of cellular systems, each having reference positional data;

a mobile unit within a region covered by a cellular system, the mobile unit capable of determining the geographical location (geo-location) of the mobile unit; and

an application service provider (ASP) to receive compressed geo-location data of the mobile unit and to determine the geo-location of the mobile unit by comparing the compressed geo-location data against the reference positional data of the reference covering said region.

12. A network of claim 11, wherein the mobile unit determines the geo-location using a Global Position System.

13. A network of claim 11, wherein the compressed geo-location data is in units of latitude and longitude.

14. A network of claim 13, wherein the compressed geo-location data includes at most one least significant degree digit of the latitude and at most two least significant degree digits of the longitude.

15. A network of claim 14, wherein the ASP determines the most significant degree digit of the latitude and at least the most significant degree digit of the longitude.

16. A method for communicating geographical location in a cellular network comprising:

determining the geographical location (geo-location) of a mobile unit operating in a region;

receiving a compressed geo-location data of the mobile unit and an identification code corresponding to a cellular system covering said region;

recovering the geo-location of the mobile unit by comparing the compressed geo-location data against a reference positional data, said reference positional data obtained from the received identification code.

17. A method of claim 16, wherein the identification code is a system identification code of the cellular system covering said region.

18. A method of claim 16, wherein the identification code is one of a cell cite, a point code of a home location register, a point code of a visiting location register or a point code of a mobile switch center.

19. A method of claim 16, wherein determining the geo-location of the mobile unit using a Global Position System.

20. A method of claim 16, wherein the compressed geo-location data is in units of latitude and longitude.

21. A method of claim 20, wherein the compressed geo-location data includes one least significant degree digit of the latitude and at most two least significant degree digits of the longitude.

22. A method of claim 21, wherein recovering the most significant degree digit of the latitude and at least the most significant degree digit of the longitude.

23. A mobile asset tracking system comprising:

a plurality of geographical references, each having reference positional data;

a mobile asset installed with a mobile unit operating in a region covered by a geographical reference, the mobile unit to determine the geographical location (geo-location) of the mobile asset and to report a compressed geo-location data of the mobile asset; and

a locater to receive the compressed geo-location data of the mobile unit and to determine the geo-location of the mobile asset by comparing the compressed geo-location data against a reference positional data of the reference covering said region.

24. A system of claim 23, wherein the mobile unit determines the geo-location using a Global Position System.

25. A system of claim 23, wherein the compressed geo-location data is in units of latitude and longitude.

26. A system of claim 25, wherein the compressed geo-location data includes at most one least significant degree digit of the latitude and at most two least significant degree digits of the longitude.

27. A system of claim 26, wherein the locater determines the most significant degree digit of the latitude and at least the most significant degree digit of the longitude.

28. A system of claim 23, wherein the compressed geo-location data is transmitted through a cellular network.

ABSTRACT

A system and method for efficiently transmitting geographical location data of mobile units is disclosed. The invention allows less than the complete geographical location data to be transmitted and reported to a locater. Using fixed geographical references, the locater then interpolates the complete geographical location of mobile units from the reported data.

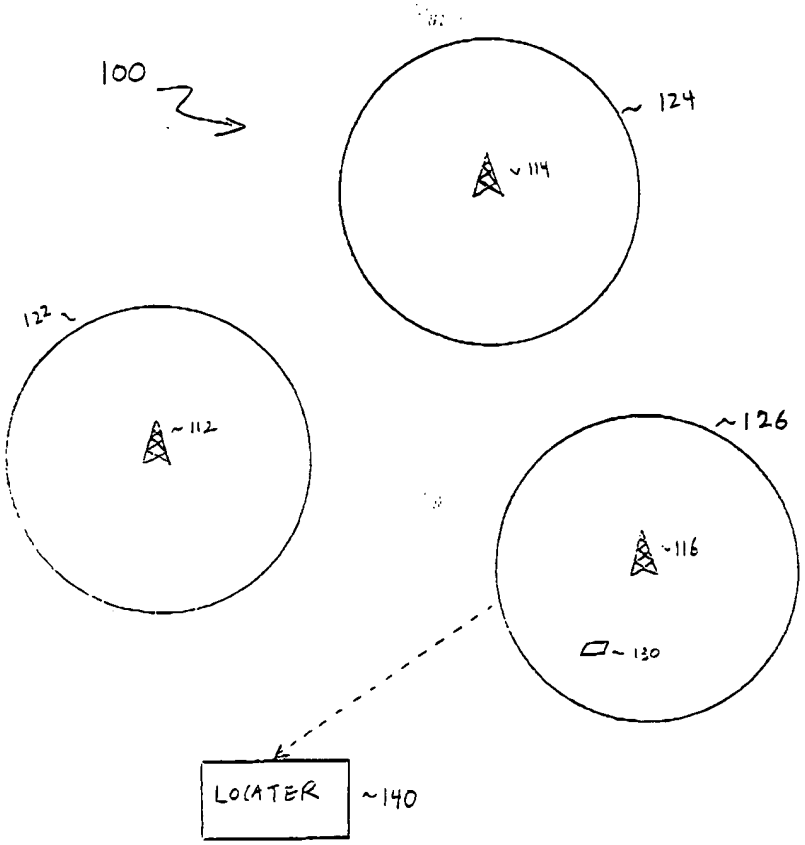

FIGURE 1

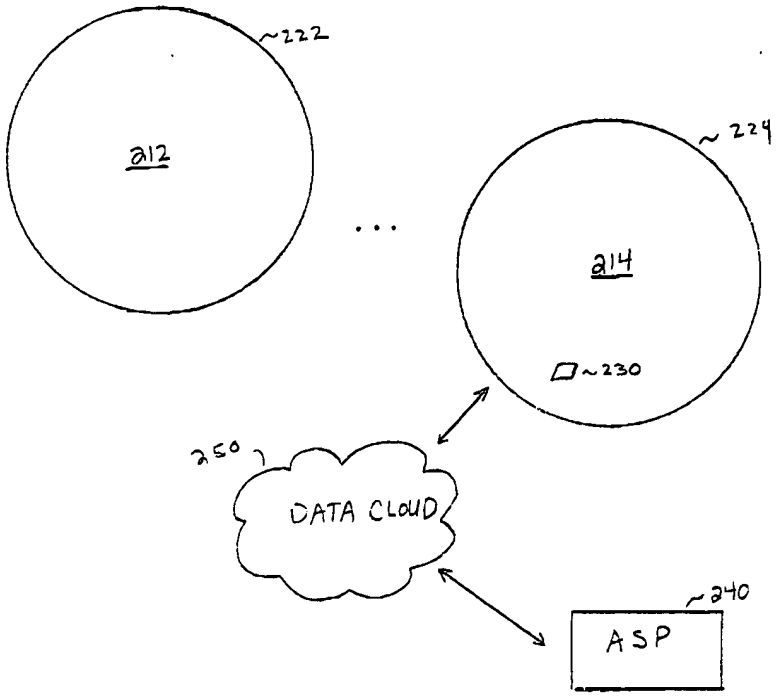

FIGURE 2

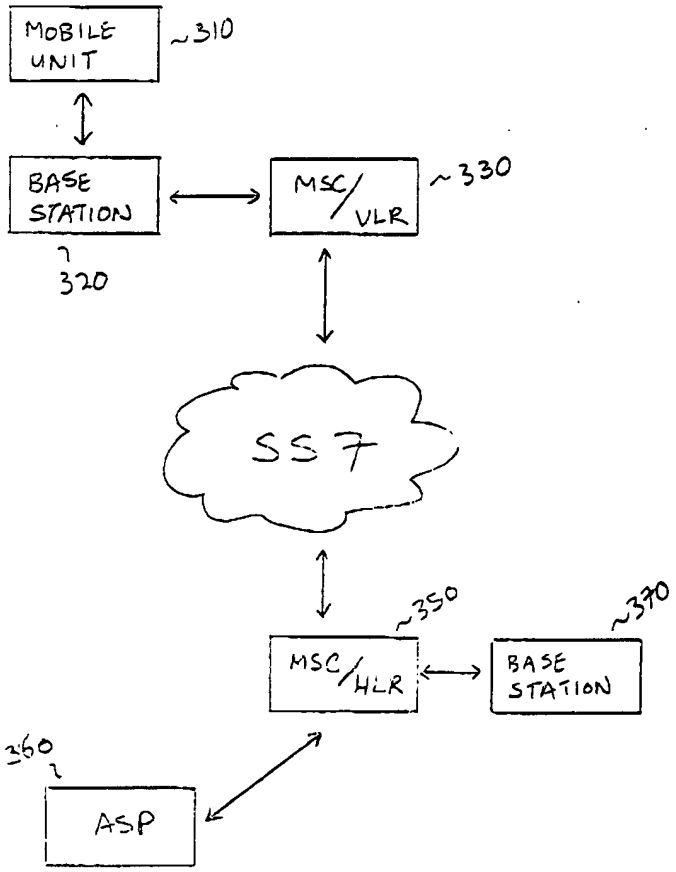
MOBILE UNIT
~310
BASE STATION
320
MSC/VLR
~330
SS7
MSC/HLR
~350
BASE STATION
~370
ASP
360

FIGURE 3

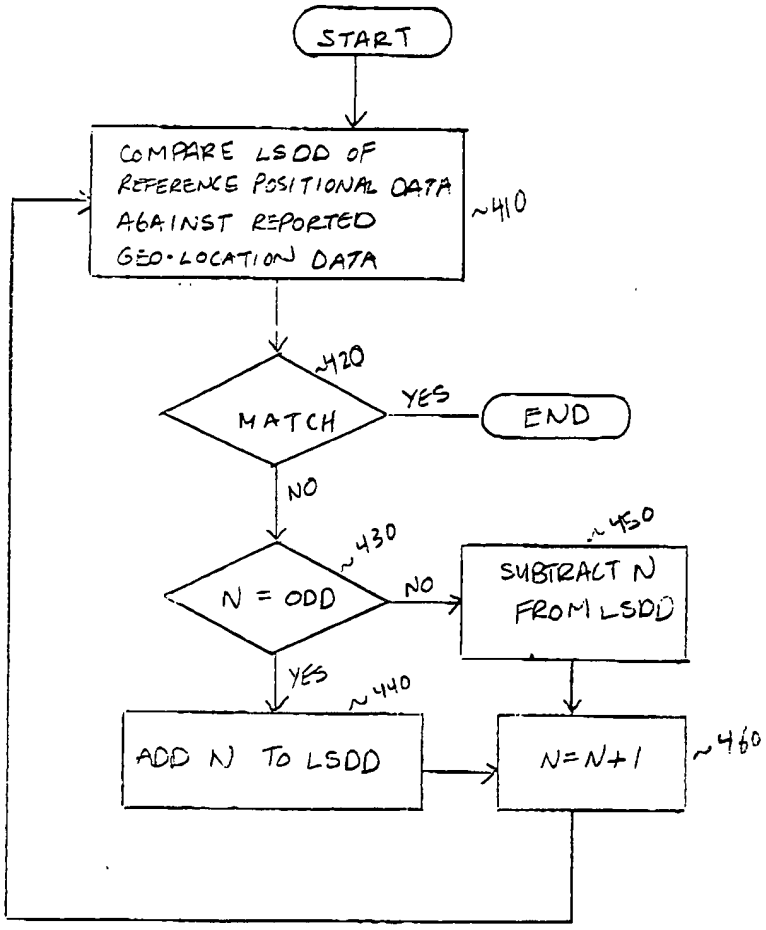
START
COMPARE LSOD OF REFERENCE POSITIONAL DATA AGAINST REPORTED GEO-LOCATION DATA
~410
~420
MATCH
YES
END
NO
~430
N = ODD
NO
~450
SUBTRACT N FROM LSOD
YES
~440
ADD N TO LSOD
N=N+1
~460

FIGURE 4

EXHIBIT D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ki Y. Nam et al

Application No.: 09/894,344

Filed: June 28, 2001

For: METHOD AND GEO-LOCATION DATA INTERPOLATION AND COMPRESSION

VERIFIED STATEMENT IN SUPPORT OF PETITION FOR RETROACTIVE LICENSE (37 C.F.R. §5.25)

Attn: Licensing and Review
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Washington, DC 20231-9998

I, Roger W. Blakely, Jr., hereby verify, in accordance with 37 C.F.R. §5.25(a)(3)(i)-(iii), that:

a. the subject matter in question was not under a secrecy order at the time it was filed abroad and is not currently under a secrecy order;

b. the license is being diligently sought after discovery of the proscribed foreign filing; and

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disclosure, this retroactive license is requested to prevent any subsequent argument that a license was required and that the application was foreign filed without that license.

Dated: December 10, 2001

ROGER W. BLAKELY, JR.
Reg. No. 25,831

12400 Wilshire Boulevard,
Seventh Floor
Los Angeles, California 90025
(714) 557-3800

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Licensing and Review, Assistant Commissioner for Patents, Washington, D.C. 20231 on: December 10, 2001.

Jessica A. Clark — *Date* 12/10/2001